

LISTED EQUITY INTEGRATION SUB-COMMITTEE: TERMS OF REFERENCE

PURPOSE

To assist signatories with the implementation of Principle 1 of the Principles for Responsible Investment, the Listed Equity Integration Sub-Committee (“sub-committee”) is tasked with promoting ESG integration in listed equities. This will be primarily achieved through the review of the ESG integration case studies, as well as contributing to a publication focused on ESG integration.

PRIMARY ACTIVITIES

The PRI will work closely with sub-committee members to achieve its objectives by:

- reviewing case studies, research notes or similar material on ESG integration;
- sourcing and contributing case studies and material to the listed equity work stream;
- presenting case studies to PRI’s Listed Equity Steering Committee;
- reviewing case study guidelines;
- reviewing and providing advice on a publication on ESG integration; and
- providing general support, insight and recommendations on ESG integration content for the work stream.

GOVERNANCE

The sub-committee is accountable to PRI’s Listed Equity Steering Committee (LESC) and will report to the LESG through regular meetings. The PRI Executive has final sign off on any published material to ensure the integrity of the PRI Initiative.

The members of the sub-committee can also be members of the steering committee and overlap is encouraged but in all cases, they must have the relevant expertise that match the purpose of the sub-committee. Whilst the steering committee must only consist of signatories, the sub-committee may include non-signatories.

The PRI Executive will review the sub-committee’s composition on a yearly basis, keeping in mind that there should be a degree of continuity from year to year. The PRI Executive also has the authority to wind down the sub-committee if it is deemed that the sub-committee has completed its objectives.

CHAIR RESPONSIBILITIES

The chair commits to the following responsibilities:

- provide the PRI and sub-committee with leadership and guidance;
- designate enough time to fulfil the above objective; and
- report progress of the sub-committee at LESC meetings.

The chair of the sub-committee should also be a member of the LESC.

MEMBER RESPONSIBILITIES

The members commit to the following responsibilities:

- participate at sub-committee meetings;
- review all relevant material;
- assist in the sourcing of case studies;
- share expertise on RI in listed equities; and
- commit a reasonable amount of time and resource to developing content.

PRI RESPONSIBILITIES

The PRI Executive commits to the following responsibilities:

- administer the sub-committee including scheduling meetings, writing agendas and minutes;
- support the sub-committee chair; and
- assist in the sourcing of case studies.

APPLYING FOR MEMBERSHIP ON THE LISTED EQUITY INTEGRATION SUB-COMMITTEE

Sub-committee members should fulfil the following criteria:

- work as a decision maker within an listed equities investment team (e.g., analyst, portfolio manager, CIO, risk related role) or work in a responsible investment role with significant time allocated to working with equities professionals;
- be knowledgeable on capital markets and responsible investment; and
- be knowledgeable on current trends and good practices for integrating ESG into listed equities investment processes.

Signatories interested in participating should submit an expression of interest to:

justin.sloggett@unpri.org

Your expression of interest should include:

- a short biography (maximum 200 words);
- a statement expressing your interest in the role; and
- a description of your organisation's approach to responsible investment in listed equities (maximum 300 words).