Investment manager signatory application form

This application form is for Investment Manager signatories ([definition](http://www.unpri.org/signatories/become-a-signatory/signatory-categories/)). Please submit it with a signed declaration (which can be found [here](http://www.unpri.org/signatories/become-a-signatory/sign-up-to-the-pri/)) and an organisation chart to info@unpri.org.

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| Organisation information |
| Name (as you would like it displayed on the PRI website) |  |
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|  |
| Head office street address |  |
| City |  | Prov/state |  |
| Post/zip code |  | Country |  |
|  |
| Any other office locations (list countries)(list countries) |  |
|  |
| Organisation’s invoicing name |  |
| Invoicing street address |  |
| Invoicing city |  | Invoicing prov/state |  |
| Invoicing post/zip code |  | Invoicing country |  |
|  |
| Your VAT number |  |
|  |
| Your website |  |
| Country you would like to be listed under on the PRI website |  |
| Link to your website page detailing your RI activities, for inclusion on the PRI website |  |
| Your organisation’s Twitter handle (if you have one) |  |
|  |
|  Are you part of a larger organisation? (Click [here](http://www.unpri.org/signatories/become-a-signatory/signatory-categories/) for more information. If you are part of a larger organisation or a subsidiary please submit an organisation chart, showing legal entities in the group structure, with your application) |  |

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| Which of the following services do you offer?  | Please tick |
| Fund management |  |
| Fund of funds, manager of managers, sub-advised products |  |
| Execution and advisory services |  |
| Other (please specify) |  |
|  |
| Under which subcategory would you like to be listed on the PRI website? | Please tick |
| Fixed income |  |
| Fund of funds |  |
| Hedge fund |  |
| Impact investing |  |
| Infrastructure |  |
| Listed equities |  |
| Multi asset |  |
| Private equity |  |
| Property |  |
| Themed investing |  |
| Other (please specify) |  |
|  If you do **not** want this information displayed on the PRI website please tick this box |  |
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| Please provide a brief description of your organisation and its primary activities. |
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| Your assets |
| What are your organisation’s total assets under management (AUM), including the assets of all your consolidated subsidiaries?  |  | US$ billion |
| Date of AUM calculation (DD/MM/YYYY) |  |
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|  |  |  |
| --- | --- | --- |
| Asset class (for definitions please see [page 19 of Reporting Framework Organisational Overview module guidance](file:///C%3A%5CUsers%5Calex.caldwell%5CDownloads%5C%28OO%29%20Organisational%20Overview%20PRI%20Reporting%20Framework%202016%20%286%29.pdf)) | % Internally managed | % Externally managed |
| Listed equity |  |  |
| Fixed income |  |  |
| Private equity |  |  |
| Property |  |  |
| Infrastructure |  |  |
| Commodities |  |  |
| Hedge funds |  |  |
| Forestry |  |  |
| Farmland |  |  |
| Inclusive finance |  |  |
| Cash |  |  |
| Other (please specify below) |  |  |
| Other (please specify below) |  |  |
|  | **In total, these percentages should add up to 100%** |
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| Your reasons for signing |

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| --- | --- |
| Why have you applied to become a PRI signatory? | Significance (1= high, 4 = low) |
| 1 | 2 | 3 | 4 |
| Client interest |  |  |  |  |
| Beneficiary interest |  |  |  |  |
| Board or trustee interest |  |  |  |  |
| CEO / CIO interest |  |  |  |  |
| Reputational benefits |  |  |  |  |
| Other (please specify) |  |
|  |  |
| What benefits do you see to becoming a signatory? | Significance (1= high, 4 = low) |
| 1 | 2 | 3 | 4 |
| Indicate our commitment to responsible investment (RI) |  |  |  |  |
| Gain understanding of good practice in implementing RI |  |  |  |  |
| Collaborate with other investors on investor engagement, public policy and other areas |  |  |  |  |
| Participate in events and networks with like-minded organisations |  |  |  |  |
| Monitor RI implementation through transparency report and evaluate progress through assessment report |  |  |  |  |
| Disclose and communicate our activities through transparency report |  |  |  |  |
| Contribute to the development and participate in discussion of good practice |  |  |  |  |
| Other (please specify) |  |
|  |  |
| How did you hear about the PRI? | Please tick |
| After direct contact with a PRI representative |  |
| From a PRI signatory |  |
| From a current or potential client |  |
| After seeing PRI in social media |  |
| After reading about PRI in financial or pensions media |  |
| At an event (please specify) |  |
| Other (please specify) |  |
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| Contact details |
| **Primary contact for PRI communications** (this person will be sent all information relating to the PRI, apart from invoices [unless specified], and will be able to vote in the PRI’s Board elections. Only one vote can be cast per signatory). |
| Title (Mr., Ms., etc.) |  |
| Name |  |
| Role (e.g. CEO) |  |
| Email |  |
| Phone |  |
| P.A. email |  |
| Office address (leave blank if same as HQ) |  |
|  |
| **Primary contact for invoicing of signatory fees** (this person will be sent all signatory invoices) |
| Title (Mr., Ms., etc.) |  |
| Name |  |
| Role (e.g. CEO) |  |
| Email |  |
| Phone |  |
| P.A. email |  |
| Office address (leave blank if same as HQ) |  |
|  |
| **Primary reporting contact** (this person will be sent all information relating to the PRI’s Reporting and Assessment process) |
| Title (Mr., Ms., etc.) |  |
| Name |  |
| Role (e.g. CEO) |  |
| Email |  |
| Phone |  |
| P.A. email |  |
| Office address (leave blank if same as HQ) |  |
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| **CEO (or equivalent)** |
| Title (Mr., Ms., etc.) |  |
| Name |  |
| Role (e.g. CEO) |  |
| Email |  |
| Phone |  |
| P.A. email |  |
| Office address (leave blank if same as HQ) |  |
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| **CIO (or equivalent)** |
| Title (Mr., Ms., etc.) |  |
| Name |  |
| Role (e.g. CEO) |  |
| Email |  |
| Phone |  |
| P.A. email |  |
| Office address (leave blank if same as HQ) |  |
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| **Additional (secondary) contacts** (these contacts will receive the same information as the primary contact, minus voting information) |
| First name | Last name | Job title | Email address | Phone | Location (city, state & country. For event invitations and region specific information) | Do they work specifically on ESG or RI issues? Yes/no |
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All *primary* and *secondary* contacts will receive the membership-related communications outlined in the PRI’s [Privacy Policy](http://www.unpri.org/privacy-policy/) unless they opt-out. Signatories can update their communication preferences from the home page of the [signatory extranet](http://intranet.unpri.org/index.php?fuseaction=auth.login&return=%2F) or opt out from all communications at any time by clicking the “unsubscribe” link at the bottom of the communication.